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2-9-2007

### February 9, 2007 Meeting Minutes

Shawnee State University

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**SHAWNEE STATE UNIVERSITY  
BOARD OF TRUSTEES**

**Meeting Minutes  
February 9, 2007**

Chairperson Kay Reynolds called the meeting to order at 1:15 p.m. noting the meeting was in compliance with RC § 121.22(F).

**Roll Call**

Members Present: Mr. Harcha, Mr. Lodwick, Mr. McKinley, Mr. Mooney, Ms. Reynolds, Ms. Seif, Mr. Warren and Mr. Campbell

Members Absent: Dr. Ball, Mr. McCoy, Ms. Laugle

**Approval of the February 9, 2007 Agenda**

Mr. Warren moved and Mr. Mooney seconded a motion to approve the February 9, 2007 agenda. Without discussion, the Board unanimously approved said agenda.

**Approval of the December 8, 2006 Board Meeting Minutes**

Mr. Harcha moved and Mr. Lodwick seconded a motion to approve the December 8, 2006 Board meeting minutes. Without discussion, the Board unanimously approved said minutes.

**President's Report**

Dr. Morris summarized the written report that she submitted to the Board and is made a part of these minutes.

**Committee Reports**

**Academic Affairs Committee – Mr. William McKinley**

Mr. McKinley reported on behalf of the Academic and Student Affairs Committee and said the committee had one action item.

Mr. McKinley said the Academic and Student Affairs Committee recommended approval of Resolution ASA01-07, Approval of 2007-2008 Semester Academic Calendar. Mr. McKinley said the calendar had been developed and reviewed by the Calendar Advisory Committee and has been approved by the Provost and President. Mr. McKinley said one of the advantages of moving to semesters is that our students will be more competitive in the job market because they will finish earlier in the year and, therefore, be available for employment earlier.

Mr. McKinley moved and Mr. Lodwick seconded a motion to approve Resolution ASA01-07, Approval of 2007-2008 Semester Academic Calendar.

Ayes: Mr. Harcha, Mr. Lodwick, Mr. McKinley, Mr. Mooney, Ms. Reynolds,  
Ms. Seif, Mr. Warren

Nays: None

Mr. McKinley reported on the Academic and Student Affairs Committee informational items.

Mr. McKinley reported that Dr. Steve Midkiff, Registrar, presented a preliminary enrollment report for winter quarter 2007. Mr. McKinley said this was a positive report and elaborated on some of the highlights of the report.

Mr. McKinley stated that Ms. Kelly Hatas, President of the Student Government Association, reported on the activities of the Student Government.

Mr. McKinley reported that Mr. Randy Warman reported on the Winter Quarter housing occupancy.

Mr. Warren said the personnel appointments would be addressed by the Finance and Facilities Committee.

#### **Finance and Facilities – Mr. Howard Harcha**

Mr. Harcha reported on behalf of the Finance and Facilities Committee in Mr. McCoy's absence.

Mr. Harcha said the Finance and Facilities Committee had eight action items.

Mr. Harcha said the Finance and Facilities Committee recommended approval of Resolution F01-07, General Receipts Bonds for University Center Expansion and Renovation and Refunding Outstanding Bonds. Mr. Harcha said this resolution authorizes the repurchase of our outstanding bonds and will permit any excess proceeds from the bonds to be used for other purposes, such as student recreational facilities. Ms. Reynolds said this resolution is going to help our students for many, many years and thanked Mr. Donohue for his work on this issue. Mr. Harcha moved and Mr. Mooney seconded a motion to approve Resolution F01-07.

Ayes: Dr. Ball, Mr. Harcha, Mr. Lodwick, Mr. McCoy, Mr. Mooney, Ms. Reynolds,  
Ms. Seif, Mr. Warren

Nays: None

Mr. Harcha said the Finance and Facilities Committee recommended approval of Resolution F02-07, Student Assessment for Debt Service on Bonds for Funding the University Center Expansion and Renovation. Mr. Harcha said this fee, not to exceed \$300 annually, will be

assessed to students to help fund the repayment of the Bonds for the University Center. Mr. Harcha moved and Ms. Seif seconded a motion to approve Resolution F02-07.

Ayes: Mr. Harcha, Mr. Lodwick, Mr. McKinley, Mr. Mooney, Ms. Reynolds,  
Ms. Seif, Mr. Warren

Nays: None

Mr. Harcha said the Finance and Facilities Committee recommended approval of Resolution F03-07, Appointment of Vice President Student Affairs. Mr. Harcha said this resolution would appoint Dr. Jim Settle as the Vice President for Student Affairs. Dr. Settle will begin work on February 15, 2007. Mr. Harcha moved and Mr. Lodwick seconded a motion to approve Resolution F03-07.

Ayes: Mr. Harcha, Mr. Lodwick, Mr. McKinley, Mr. Mooney, Ms. Reynolds,  
Ms. Seif, Mr. Warren

Nays: None

Mr. Harcha said the Finance and Facilities Committee recommended approval of Resolution F04-07, Rescission of Policies 4.30, 4.43, 4.50, 4.59 and updating Policies 4.23REV and 4.58REV. Mr. Harcha said these changes are necessary to update two policies for the change to semesters and rescind four Business Affairs policies that are outdated. Mr. Harcha moved and Mr. Mooney seconded a motion to approve Resolution F04-07.

Ayes: Mr. Harcha, Mr. Lodwick, Mr. McKinley, Mr. Mooney, Ms. Reynolds,  
Ms. Seif, Mr. Warren

Nays: None

Mr. Harcha said the Finance and Facilities Committee recommended approval of Resolution F05-07, Policy 5.30REV, Campus Computer and Network Use Policy. Mr. Harcha said identity theft is rampant and in order to comply with new Ohio laws, these changes need to be made. Mr. Harcha moved and Ms. Seif seconded a motion to approve Resolution F05-07.

Ayes: Mr. Harcha, Mr. Lodwick, Mr. McKinley, Mr. Mooney, Ms. Reynolds,  
Ms. Seif, Mr. Warren

Nays: None

Mr. Harcha said the Finance and Facilities Committee recommended approval of Resolution F06-07, University Housing Policy 3.20REV. Mr. Harcha said periodically students in housing have special needs and this policy gives the President or her designee the ability to deal with these necessary changes. Mr. Harcha moved and Mr. Mooney seconded a motion to approve Resolution F06-07.

Ayes: Mr. Harcha, Mr. Lodwick, Mr. McKinley, Mr. Mooney, Ms. Reynolds,  
Ms. Seif, Mr. Warren

Nays: None

Mr. Harcha said the Finance and Facilities Committee recommended approval of Resolution F07-07, Approval of Credit by Arrangement Fees for MOT. Mr. Harcha said this modification would address the need to make special arrangements for students in the Master's degree level classes. Mr. Harcha moved and Mr. Lodwick seconded a motion to approve Resolution F07-07.

Mr. Harcha said the Finance and Facilities Committee recommended approval of Resolution F08-07, Southern Ohio Youth Regents STEM and Foreign Language Grant. Mr. Harcha said this grant is designed to increase attendance in STEM disciplines from the Appalachian region. Mr. Harcha moved and Mr. McKinley seconded a motion to approve Resolution F08-07.

Ayes: Mr. Harcha, Mr. Lodwick, Mr. McKinley, Mr. Mooney, Ms. Reynolds,  
Ms. Seif, Mr. Warren

Nays: None

Mr. Harcha reported on the additional Finance and Facilities Committee informational items.

Mr. Harcha said the Personnel Items were summarized in the attached listing for personnel action taken between November 22, 2006 and January 26, 2007.

Mr. Harcha said Mr. Donohue discussed the draft general fund budget.

Mr. Harcha reported that the capital status report reflects current information on each capital project.

Mr. Harcha said that Mr. Donohue reviewed the general fund revenues and expenditures pace report, fiscal year to date through December 2005 and 2006 and it was a good report.

#### **Reports, if any, from Board Liaisons with other Organizations**

None.

#### **New Business**

Ms. Reynolds said Mr. Murphy will retire at the end of February and introduced Resolution E01-07, Acknowledgment of Roger T. Murphy on the Occasion of His Retirement. She said she was happy to see people able to enjoy retirement but said it was sad to see them leave. She said SSU

was losing a very valuable employee but she wished him much success in his retirement. Mr. McKinley moved and Ms. Seif seconded a motion to approve Resolution E01-07.

Ayes: Mr. Harcha, Mr. Lodwick, Mr. McKinley, Mr. Mooney, Ms. Reynolds,  
Ms. Seif, Mr. Warren

Nays: None

Ms. Reynolds presented Mr. Murphy with a plaque to honor his retirement. Mr. Murphy thanked Ms. Reynolds and the other members of the Board and said it had been a pleasure to be a part of Shawnee State University. A round of applause followed.

**Comments from Constituent Groups (if any) and the Public**

None.

**Other Business**

Ms. Reynolds appointed a Nominating Committee to appoint Board Officers for the upcoming fiscal year. She appointed Mr. McKinley as chair of the Nominating Committee and appointed Ms. Seif and Ms. Ball as members of the Committee.

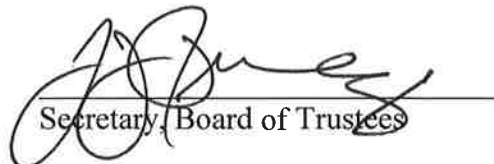
**Executive Session, if necessary**

None.

**Adjournment**

The meeting was adjourned by acclamation at 2:00 p.m.

  
Chairperson, Board of Trustees

  
Secretary, Board of Trustees

President's Report to the  
Shawnee State University Board of Trustees  
February 9, 2007  
Rita Rice Morris, President

Governor Strickland's Higher Education Summit

On January 22, I joined about 85 other Ohio campus leaders at Columbus State College to engage Governor Strickland and his staff in a discussion of how to enhance the impact of higher education in the state. The governor presented what he called "the goals that will help higher education help Ohio." These goals were grouped into three areas:

- Access and Student Success
- Workforce Development
- Research and Innovation


Governor Strickland stayed for the entire 5½ hour summit, demonstrating his commitment to higher education.

Learning Communities – "The Future of Higher Education"

The Report of the Spellings Commission was included in Board materials this month. With the attention higher education is receiving at both the state and national levels, we thought it important to bring those discussions to the university community. Associate Provost and Director of Institutional Planning, Dave Todt, working with the Teaching and Learning Center, organized two Learning Communities to discuss "The Future of Higher Education." The communities are reviewing such documents as the Spellings Commission Report and the report of the state Higher Education Funding Study Council. We hope to include the work of these groups in future planning for university programming.

Capital Campaign Kick-Off

Last month, we announced the public phase of the university's *Poised for Tomorrow* capital and endowment campaign. Some of you were able to be there for the announcement which was well attended by about 75 members of the campus community. We were very proud to report that during the "quiet phase" of the campaign, we have already raised \$6.8 million in cash and planned gifts. That amount is larger than the \$5.9 million total for Shawnee State's last campaign, making *Poised for Tomorrow* by far the largest fundraising effort in the history of the region. I would like to thank Frank and Janice Waller and over 100 capital campaign volunteers for all their work on behalf of the university. With so

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Secretary, SSU Board of Trustees  
3/2/07  
Date

much support, we are excited by the prospect of reaching our \$12 million goal by spring 2008.

### Master Plan

We continue to make progress on revising the university's Master Plan. The Master Plan is a model for physical growth over 20 years that will help guide the campus' development of academic programs and other services for students and give direction to the university's efforts to serve as a resource for the community and region.

As part of the process, the university held a public meeting on January 3 to encourage a discussion with our neighbors and the community regarding Shawnee State's plans for growth. After a presentation by Jim Butz, the university's master planning consultant, there was a public discussion of the plan moderated by Dave Todt. We received some very good input that will be integrated into the next iteration of the plan.

### State of the University

I would like to note my State of the University address is scheduled for February 27 at 4 p.m. in the Flohr Lecture Hall located in the Clark Memorial Library. I presented my first address last year and think it is a very valuable exercise to review our progress and consider planning for the future.



## **RESOLUTION F 01-07**

### **GENERAL RECEIPTS BONDS FOR UNIVERSITY CENTER EXPANSION AND RENOVATION AND REFUNDING OUTSTANDING BONDS**

WHEREAS, Shawnee State University (the "University") seeks to renovate and construct a new addition to its University Center (the "Project") and the Board of Trustees (the "Board") of the University has determined to proceed with the Project;

WHEREAS, this Board has determined to authorize the issuance of bonds to provide money for the Project and to refund previously issued and outstanding bonds, subject to approval of the Board of Regents for the pledge of the General Receipts of the University for such bonds; and

WHEREAS, this Board acknowledges its obligation under this resolution to make, fix, adjust, collect and apply such charges, rates, fees, rentals and other items included in its General Receipts as shall be sufficient to pay the operating expenses of the University and to pay the principal of and interest on the bonds of the University authorized under this resolution, including assessing and adjusting student fees of the University for such purpose;

THEREFORE, BE IT RESOLVED by the Board of Trustees of Shawnee State University, that:

Section 1. Approval of Project. It is necessary to acquire, construct, improve, equip and furnish the Project.

Section 2. Findings and Determinations. This Board makes the following findings and determinations:

(a) The Project constitutes "facilities" as defined in Section 3345.12, Ohio Revised Code, and the costs of the Project constitute "costs of facilities" as defined therein. It is necessary to issue bonds (the "Series 2007 Bonds") of the University for the purpose of paying costs of the Project and refunding certain Outstanding Bonds of the University.

(b) All or a portion of the Outstanding Bonds may be refunded. As a result of that refunding, debt service cost savings may be achieved with respect to the Outstanding Bonds or such refunding will permit the University to enter into a trust agreement amending and restating the Original Trust Agreement upon terms

more advantageous to the University, and that the refunding will benefit the University.

(c) All formal actions of this Board relating to the enactment of this resolution were taken in an open meeting of this Board. All deliberations of this Board and of any of its committees that resulted in those formal actions were in meetings open to the public in compliance with all legal requirements, including Section 121.22, Revised Code.

(d) Terms that are capitalized in this resolution and not otherwise defined are used with the meanings given to them in Section 12.

Section 3. Purpose and Terms.

(a) Purpose. The Series 2007 Bonds will be issued for the purpose of paying the costs of the Project and refunding the Outstanding Bonds. The proceeds of the Series 2007 Bonds may also be used to pay "financing costs" as defined in Section 3345.12, interest on the Series 2007 Bonds from the date of the Series 2007 Bonds to the time when interest is to be covered by available receipts or sources other than proceeds of the Series 2007 Bonds ("Capitalized Interest") and any other items included in the definition "costs of facilities" in Section 3345.12, Ohio Revised Code. This Board authorizes and directs the Vice President for Finance and Administration to determine any or all of the following with respect to the Series 2007 Bonds:

- (i) which maturities of the Outstanding Bonds that are to be called for early redemption and which maturities, if any, that are to be escrowed to maturity;
- (ii) the amount of refunding costs authorized by Section 3345.12(K)(2), Ohio Revised Code, to be paid from the proceeds of the Series 2007 Bonds;
- (iii) the amount of Capitalized Interest to be paid from proceeds of the Series 2007 Bonds;
- (iv) the amount of financing costs to be paid from proceeds of the Series 2007 Bonds; and
- (v) to issue the Series 2007 Bonds in one or more series, with appropriate changes to the series designations of those series, if necessary or advisable.

The proceeds of the Series 2007 Bonds may be used to refund all or a portion of the Outstanding Bonds of the University, but only if the Vice President for Finance and Administration determines that there will be debt service cost

savings to the University as a result of that refunding or that refunding the Outstanding Bonds is necessary to permit the University to enter into a trust agreement amending and restating the Original Trust Agreement on terms advantageous to the University.

Any proceeds of the Series 2007 Bonds in excess of amounts needed to pay costs of the Project shall be applied in accordance with the Trust Agreement and the Tax Regulatory Agreement.

(b) Terms. The Series 2007 Bonds will be issued in the principal amount determined by the Vice President for Finance and Administration, which may not exceed \$18,000,000. For the purposes of this resolution, the principal amount is the aggregate initial offering price to the public. If the Series 2007 Bonds are sold in the initial offering with net original issue discount, the aggregate principal amount of the Series 2007 Bonds may be increased by the amount of net original issue discount. The Series 2007 Bonds will bear interest at the rates approved by the Vice President for Finance and Administration, but the average interest rate on the Series 2007 Bonds may not exceed 7% per annum. Subject to those limits, this Board authorizes the Vice President for Finance and Administration to determine the following terms and provisions of the Series 2007 Bonds:

- (i) the principal amount of the Series 2007 Bonds;
- (2) the interest rates to be borne by the Series 2007 Bonds;
- (3) the date of the Series 2007 Bonds;
- (4) the interest payment dates for the Series 2007 Bonds; and
- (5) the maturity amounts and dates of maturities of the Series 2007 Bonds.

The Vice President for Finance and Administration must deliver a Certificate of Award setting forth the terms of the Series 2007 Bonds determined under this Section 3(b). The Series 2007 Bonds may have such other terms as are provided in the Trust Agreement and approved by the Vice President for Finance and Administration.

(c) Refunding Outstanding Bonds. This Board elects to refund the Outstanding Bonds determined by the Vice President for Finance and Administration as authorized in Section 3(a), if he or she determines that there will be debt service cost savings as a result of the refunding or that refunding the Outstanding Bonds is necessary to permit the University to enter into a trust agreement amending and restating the Original Trust Agreement on terms advantageous to the University. This Board authorizes the Vice President for

Finance and Administration to call the maturities of the Outstanding Bonds determined by him or her under Section 3(a). The actions under this Section 3(c) are intended to be actions of the University and this Board sufficient for all purposes of the Original Trust Agreement.

Section 4. Adoption of Amended and Restated Trust Agreement. The University is authorized to enter into an Amended and Restated Trust Agreement (the "Trust Agreement"), amending and restating the Original Trust Agreement. The President, the Vice President for Finance and Administration and any other officer of the University or this Board, alone or in conjunction with any of the others, are authorized to execute and deliver the Trust Agreement to the Trustee, which Trust Agreement shall be in substantially the form on file with the Secretary of this Board, with such revisions as shall not be inconsistent with this resolution and shall not be substantially adverse to the University. The approval of the Trust Agreement shall be conclusively evidenced by its execution.

Section 5. Security and Source of Payments. The Series 2007 Bonds will be payable from the General Receipts of the University and will be secured by a pledge of and lien on those General Receipts and by the covenants made in this Section 4 and in the Trust Agreement. The pledge of and lien on the General Receipts will be on a parity with the pledge of and lien on the General Receipts securing the Outstanding Bonds and any additional Parity Obligations issued or incurred in the future under the terms of the Trust Agreement. That pledge will be prior to the payment of all other expenses, claims or payments to be made from the General Receipts. The Series 2007 Bonds also will be secured by a pledge of and a lien on the Special Funds as defined in the Trust Agreement. The pledge of and lien on the General Receipts and Special Funds will be created in the Trust Agreement.

Without limiting the foregoing, this Board authorizes the Vice President for Finance and Administration to establish one or more Project Funds, as part of the Plant Funds of the University. The portion of the proceeds of the Series 2007 Bonds that will be paid to the University to pay costs of the Project must be paid into the Project Funds and used to pay costs of the Project in accordance with the Trust Agreement. This Board pledges the money in the Project Funds, pending its use to pay costs of the Project, to the payment of the Series 2007 Bonds.

This Board covenants, and confirms its covenant in the Trust Agreement, that in each fiscal year it will make, fix, adjust, collect and apply such charges, rates, fees, rentals and other items included in General Receipts, to the extent permitted by law so that Available Receipts will be sufficient to pay the operation and maintenance expenses of the University and to pay principal, interest and any premium requirements on the Outstanding Bonds and the Series 2007 Bonds, any reserve requirements for the Outstanding Bonds or the Series 2007 Bonds and any other requirements provided for in the Bond Proceedings. As used in this paragraph, the term "Available Receipts" means, for a particular fiscal year, the General Receipts received in that fiscal year plus unencumbered cash from General

Receipts received in previous fiscal years and held as part of the fund balance of the University as of the end of the immediately preceding fiscal year.

This Board further covenants to include in its budget for each fiscal year the amounts from the several sources of General Receipts to be applied to make the payments to the Debt Service Fund, so that the amounts from those sources, in the aggregate, will at all times be sufficient in amount and time of collection to meet those payments.

This Board authorizes and directs the President and the Vice President for Finance and Administration to seek the approval of the Board of Regents to the pledge of General Receipts to secure the Series 2007 Bonds.

Notwithstanding the foregoing, the holders and owners of the Series 2007 Bonds shall not be given the right and shall have no right to have excises or taxes levied by the General Assembly of Ohio for the payment of principal, interest and any premium on the Series 2007 Bonds, and each Series 2007 Bond shall bear on its face a statement to that effect and to the effect that the right to such payment is limited to the General Receipts and the Special Funds pledged to that purpose under the Bond Proceedings.

Section 6. Sale. The Series 2007 Bonds shall be sold to the Underwriter in accordance with the Purchase Agreement. This Board authorizes the Vice President for Finance and Administration to sell the Series 2007 Bonds at such time on such terms and at such prices as he shall determine are in the best interest of the University.

Section 7. Federal Tax Matters. The University covenants that it will take or cause to be taken those actions required for the interest on the Series 2007 Bonds to be excluded from gross income for federal income tax purposes. The University covenants that it will not take or permit to be taken any action that would adversely affect that exclusion. In accordance with these covenants, the Board authorizes and directs the Vice President for Finance and Administration or any other officer having responsibility for issuing the Series 2007 Bonds, to do the following:

(a) Sign and deliver a certificate of the University, for inclusion in the transcript of proceedings for the Series 2007 Bonds, setting forth the reasonable expectations of the University regarding the amount and use of the proceeds of the Series 2007 Bonds and of the Project refinanced with the Series 2007 Bonds.

(b) Limit the yield on any "investment property" (as defined in Section 148(b)(2) of the Code) acquired with the proceeds of the Series 2007 Bonds.

(c) Maintain such books and records and to make such calculations and reports as are required to comply with the arbitrage rebate requirements of the Code.

(d) Retain accountants or other consultants to assist in the calculation of any rebate amounts required to be paid if retaining such accountants or consultants

is necessary in the judgment of the Vice President for Finance and Administration. The fees of such accountants or consultants may be paid from the proceeds of the Series 2007 Bonds or from other sources lawfully available and appropriated for that purpose.

(e) Execute and file Form 8038-G with the Internal Revenue Service and any other federal tax form required by the Internal Revenue Service.

Section 8. Retention of the Underwriter. This Board authorizes and directs the Vice President for Finance and Administration to retain the services of Seasongood & Mayer, LLC to serve as the Underwriter for the Series 2007 Bonds. The Underwriter will be compensated in accordance with the Purchase Agreement.

Section 9. Retention of Bond Counsel. The legal services of the law firm of Roetzel & Andress, LPA ("Bond Counsel") are hereby retained. Those legal services shall be in the nature of legal advice and recommendations as to the Bond Proceedings in connection with the security, issuance and sale of the Series 2007 Bonds, preparation of certain documents, assistance in preparation of the Official Statement and rendering an approving legal opinion with respect to the Series 2007 Bonds. In rendering those legal services, as an independent contractor and in an attorney-client relationship, Bond Counsel shall not exercise any administrative discretion on behalf of the University or this Board in the formulation of public policy, the expenditure of public funds, the enforcement of laws, rules and regulations of this state, any political subdivision of this state or the execution of public trusts. The University shall pay Bond Counsel for those legal services reasonable fees in accordance with Bond Counsel's letter of appointment from the Attorney General and shall reimburse Bond Counsel for its out-of-pocket expenses incurred in rendering those legal services.

Section 10. Authorization of University Officers. This Board authorizes and directs the Vice President for Finance and Administration, or in his or her absence the University's President, to do any or all of the following if, in his or her judgment, with the advice of the Underwriter, Bond Counsel and the General Counsel of the University, they are necessary, appropriate or useful and in the best interests of the University:

(a) Prepare, or cause to be prepared, and negotiate the terms of any or all of the following:

- (1) the Trust Agreement;
- (2) the Tax Regulatory Agreement;
- (3) the Purchase Agreement;
- (4) the Continuing Disclosure Agreement;
- (5) the Escrow Agreement; and

- (6) such other documents, agreements, instruments and certificates, including the form of the Series 2007 Bonds, required in connection with the Series 2007 Bonds.
- (b) Apply for such ratings from Moody's Investors Service, Standard & Poor's Rating Services, Fitch Ratings, and any of them (each a "Rating Agency"), and to provide the Rating Agencies with information about the University.
- (c) Prepare or cause to be prepared on behalf of the University a preliminary and final official statement and any necessary supplements, and
  - (1) use and distribute or authorize the use and distribution of the Official Statement and any supplements in connection with the original issuance of the Series 2007 Bonds;
  - (2) sign on behalf of the University the Official Statement and any supplements;
  - (3) advise the Underwriter in writing regarding limitations on the use of the Official Statement and any supplements for purposes of marketing or reoffering the Series 2007 Bonds;
  - (4) sign and deliver on behalf of the University certificates in connection with the accuracy of the Official Statement and any supplements; and
  - (5) contract with the Underwriter to provide such numbers of the Official Statement as necessary to enable the Underwriter to comply with the requirements of Rule 15c2-12 of the Securities and Exchange Commission.
- (d) Take actions to comply with the University's obligations under the Continuing Disclosure Agreement, including preparing and filing annual information, annual financial statements and event notices. The costs of that compliance may be paid from the proceeds of the Series 2007 Bonds or from the General Receipts.
- (e) Apply for a policy insuring the obligation to make payments of principal and interest on the Series 2007 Bonds from one or more bond insurance companies, accept a commitment for such policy of insurance and provide each such bond insurance company with information about the University.
- (f) Obtain other credit enhancement facilities for or in connection with the Series 2007 Bonds.

(g) Sign and deliver, on behalf of the University, the Series 2007 Bonds, the Trust Agreement, the Purchase Agreement, the Tax Regulatory Agreement, the Continuing Disclosure Agreement, the Escrow Agreement, agreements with bond insurance companies, agreements with the providers of credit enhancements, and such additional documents, instruments and certificates as required by those agreements and documents.

(h) Do all other acts (including signing and delivering documents, instruments and certificates and retaining the services of attorneys, accountants, printers and consultants) necessary or appropriate to consummate the bond transaction.

Section 11. Authorized University Representatives. This Board authorizes and directs the Vice President for Finance and Administration to designate an Authorized University Representative and one or more alternates under the Trust Agreement. The Authorized University Representative or alternates must take all action required of the University under the Bond Proceedings. The Authorized University Representative may be changed and additional alternates may be added by action of the Vice President for Finance and Administration or this Board. The alternates may act in the absence or at the direction of the Authorized University Representative.

Section 12. Definitions. In addition to terms elsewhere defined in this resolution, the following terms are defined terms:

“Authorized University Representative” means a person at the time designated to act on behalf of the University by a written certificate furnished to the Trustee, containing the specimen signature of that person and signed on behalf of the University by the Vice President for Finance and Administration. The certificate may designate an alternate or alternates.

“Bond Proceedings” means this resolution, the Certificate of Award, the Trust Agreement, the Tax Regulatory Agreement, the Continuing Disclosure Agreement, the Purchase Agreement, the Escrow Agreement, the Series 2007 Bonds and the other agreements and credit enhancement facilities authorizing, awarding, or providing for the terms, conditions, security or liquidity of the Series 2007 Bonds and amendments and supplements to those documents.

“Certificate of Award” means the certificate of the Vice President for Finance and Administration described in Section 3 of this resolution, determining certain terms of the Series 2007 Bonds.

“Code” means the Internal Revenue Code of 1986, as amended.

“Continuing Disclosure Agreement” means the Continuing Disclosure Agreement between the University and the Trustee, under which the University agrees to provide information on an annual basis, and notices of material events as they occur, to certain



national information repositories and the state information depository, for the benefit of the holders of the Series 2007 Bonds.

“Debt Service Fund” means the Debt Service Fund for the payment of principal, interest and premium on the Series 2007 Bonds created under the Trust Agreement.

“Escrow Agreement” means the Escrow Agreement between the University and an escrow trustee under which the proceeds of the Series 2007B bonds will be deposited in escrow for the payment of the principal, interest, and any premium on the Outstanding Bonds to be refunded.

“General Receipts” means all money received by the University, except (i) moneys raised by taxation, (ii) state appropriations, (iii) any special fee, and receipts from that special fee, charged under Section 154.21(D), Ohio Revised Code and (iv) any grant, gift, donation or pledge that is restricted by the donor to purposes inconsistent with the payment of principal, interest and any premium on the Series 2007 Bonds. Notwithstanding the foregoing, moneys raised by taxation will be included in General Receipts, if those taxes are specifically allocated to secure University obligations by the General Assembly of Ohio. Without limiting the foregoing, “General Receipts” includes the following (a) income, revenues, and receipts from the operation, ownership, or control of facilities owned and operated by the University, (b) grants, gifts, donations and pledges and receipts from those grants, gifts, donations and pledges and (c) receipts from fees and charges.

“Official Statement” means the disclosure document prepared by the University in connection with the offering and sale of the Series 2007 Bonds, as provided in Section 10(c), and included any preliminary or final disclosure documents and any supplements or amendments.

“Original Trust Agreement” means the Trust Agreement dated as of November 1, 1989 between the University and the Trustee, as supplemented and amended,

“Outstanding Bonds” means, collectively, the Series 1989 Bonds and the Series 1994 Bonds.

“Parity Obligations” means such term as defined in the Trust Agreement.

“Project Funds” means one or more funds established as part of the Plant Funds of the University and authorized by Section 5 of this resolution.

“Purchase Agreement” means the Bond Purchase Agreement between the University and the Underwriter, providing for the sale of the Series 2007 Bonds.

“Series 1989 Bonds” means the University’s General Receipts Bonds, Series A, dated November 1, 1989, issued in the original principal amount of \$3,250,000.

"Series 1994 Bonds" means the University's General Receipts Bonds, Series B, dated August 1, 1994, issued in the original principal amount of \$1,960,000.

"Series 2007 Bonds" means the University's General Receipt Bonds, Series 2007, authorized in Section 3 of the resolution.

"Special Funds" means the Special Funds as defined in the Trust Agreement and includes, without limitation, the Debt Service Fund and the Project Funds.

"Tax Regulatory Agreement" means the Tax Regulatory Agreement between the University and the Trustee, under which the University agrees to take the necessary actions to maintain the tax-exempt status of the interest on the Series 2007 Bonds.

"Trust Agreement" means the Amended and Restated Trust Agreement between the University and the Trustee, as supplemented and amended from time to time, authorized in Section 3 of this resolution.

"Trustee" means U.S. Bank National Association, as successor Trustee to Star Bank South Central Ohio.


"Underwriter" means Seasongood & Mayer, LLC.

"University" means Shawnee State University.

"Vice President for Finance and Administration" means the person who holds the position of University's Vice President for Finance and Administration, including any person who holds such position on an interim basis.

Adopted: February 9<sup>th</sup>, 2007

Signed:   
Chairman, Board of Trustees  
Shawnee State University

Attest:   
Secretary, Board of Trustees  
Shawnee State University

1399328.01

**RESOLUTION F 02-07**

**STUDENT ASSESSMENT FOR DEBT SERVICE ON BONDS FOR FUNDING  
THE UNIVERSITY CENTER EXPANSION AND RENOVATION**

WHEREAS, the Board of Trustees of Shawnee State University has approved Resolution 01-07 approving the issuance of General Receipt Bonds to finance the University Center expansion and renovation; and

WHEREAS, the Student Government Association passed resolution 101 (November 15, 2006) approving the project and an assessment charge to students to help defray the cost of financing these bonds; and

WHEREAS, there is a need to generate an income stream, apart from tuition and general fees, to assure bondholders of repayment of debt in accordance with the Trust Agreement;

THEREFORE BE IT RESOLVED that the Board of Trustees of Shawnee State University approves the assessment of debt service charges to students to fund the repayment of these General Receipt bonds not to exceed \$150 in any one academic term and not to be assessed before the term in which the building is occupied; and

BE IT FURTHER RESOLVED that this student debt service charges is contingent upon approval by the Ohio Board of Regents as provided under § 209.64.69 of Am. Sub. H.B. 66 (126<sup>th</sup> Ohio General Assembly).

(February 9, 2007)

Certified as True and Correct  
  
Secretary, SSU Board of Trustees      Date 2/15/07

**SHAWNEE STATE UNIVERSITY  
STUDENT GOVERNMENT ASSOCIATION**

**RESOLUTION 101**

**UNIVERSITY CENTER EXPANSION  
STUDENT FUNDING**

WHEREAS, the Student Government Association (SGA) recognizes the importance of quality student life opportunities for recruitment and retention; and

WHEREAS, the current University Center was designed during the University's transition from a community college to a university and does not meet the extra curricular needs of our growing residential student population; and

WHEREAS, the SGA has reviewed the preliminary concept and design of an expanded University Center which adds about twenty thousand square feet to the current structure greatly expanding the food service capacity; and

WHEREAS, the cost of the University Center expansion is projected to be \$12-\$13 Million and the current capital budgeting environment is not favorable for state funding; and

WHEREAS, the SGA views the University Center expansion as critical to the continuing success of Shawnee State University to meet the needs of an expanding student community; and

WHEREAS, funding this project from student fees would add about \$150 per semester for each full-time student, if the project were funded by student fees;

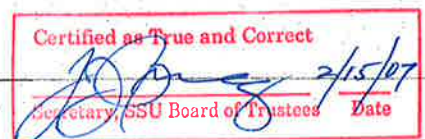
THEREFORE BE IT RESOLVED that the SGA, as the duly elected representatives of the Shawnee State University student body, recommend the University go forward with the University Expansion project funded through student fee assessments necessary for the repayment of the bond debt financing of the project.

*I certify that this is a true and exact resolution of the Shawnee State University Student Government Association.*



Dustin Martin  
Secretary of Student Government Association

November 15, 2006





## RESOLUTION ASA01-07

### 2007-2008 SEMESTER ACADEMIC CALENDAR

WHEREAS, members of the Calendar Advisory Committee have developed and reviewed the Proposed 2007-2008 Shawnee State University 2007-2008 Semester Academic Calendar; and

WHEREAS, this calendar accommodates guidelines from the Ohio Board of Regents regarding the number of instructional days each semester; and

WHEREAS, the Calendar Committee, the Provost and the President have approved the proposed academic calendar for 2007-2008; and

WHEREAS, the Academic and Student Affairs Committee recommends approval of the proposed 2007-2008 semester academic calendar;

THEREFORE BE IT RESOLVED that the Board of Trustees of Shawnee State University approves the Shawnee State University 2007-2008 Semester Academic Calendar, attached hereto.

(February 9, 2007)



**Shawnee State University**  
**Proposed 2007-2008**  
**Semester Calendar**

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**Summer Semester 2007-2008**

April 23	Registration Opens for Summer Semester
June 25	Classes Begin
June 29	Last Day to Add a Class
	Last Day for 100% Refund Upon Complete Withdrawal
July 4	Independence Day ( <i>University Offices Closed</i> )
July 11	Last Day to Apply for Non-Credit
	Last Day to Drop a Class
August 10	Last Day of Classes
August 11-17	Final Exams
August 17	Semester Ends/Grades Due

**Fall Semester 2007-2008**

April 23	Registration Open for Fall Semester
August 27	Classes Begin
August 31	Last Day to Add a Class
	Last Day for 100% Refund Upon Complete Withdrawal
September 3	Labor Day Holiday ( <i>University Offices Closed</i> )
October 8	Columbus Day ( <i>University Offices Open</i> )
October 31	Last Day to Apply for Non-Credit
	Last Day to Drop a Class
November 12	Veteran's Day Observed ( <i>University Offices Closed</i> )
Nov 22-24	Thanksgiving Holiday ( <i>University Offices Closed</i> )
November 26	Classes Resume
December 12	Last Day of Classes
December 13-19	Final Exams
December 19	Semester Ends
December 21	Final Grades Due
December 24	Christmas Holiday ( <i>in lieu of President's Day-University Offices Closed</i> )
December 25	Christmas Day ( <i>University Offices Closed</i> )

**Spring Semester 2007-2008**

November 5	Registration Opens for Spring Semester
January 1	New Year's Day ( <i>University Offices Closed</i> )
January 14	Classes Begin
January 18	Last Day to Add a Class
	Last Day for 100% Refund Upon Complete Withdrawal
January 21	Martin Luther King, Jr. Holiday ( <i>University Offices Closed</i> )
February 18	President's Day – Observed by University December 24 ( <i>University Offices Open</i> )
March 12	Last Day to Apply for Non-Credit
	Last Day to Drop a Class
March 16-23	Spring Break
March 24	Classes Resume
May 2	Last Day of Classes
May 3-9	Final Exams
May 9	Semester Ends
May 10	Commencement
May 13	Grades Due

SHAWNEE STATE UNIVERSITY  
Preliminary Enrollment Report  
Winter Quarter 2006-2007  
(compared to 2005-2006)

Headcount ..... 3590, up 55 students or + 1.6% increase over 2005-2006

FTE enrollment ..... 3137, up 52 FTEs or + 1.7% increase over 2005-2006

Subsidy eligible FTE enrollment ..... 3079, up 53 FTEs or 1.7% increase over 2005-2006

New Students (first-time freshmen and new transfers)..... 223, up 1 student from 2005-2006

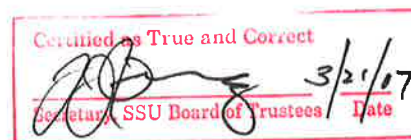
Enrollment Highlights:

- 1) The 3590 student headcount is the highest Winter Quarter enrollment in the history of Shawnee State University, Growth in headcount (1.6%) is similar to the Fall 2006-2007 increase of 1.57%.
- 2) Ohio resident enrollment is 3266 students, up 27 over the 2005-2006 headcount.
- 3) Students residing in Kentucky total 262, down 4 students from 2005-2006.
- 4) Full-time enrollment (headcount) increased by 23 students to 2966 compared to 2005-2006.
- 5) Part-time enrollment (headcount) is 624, up 32 students (approximately a 5.4% increase) over 2005-2006.
- 6) The number (headcount) of male students increased by 45, while the number of female students increased by 10, for a total increase of 55 students this term.
- 7) There are 2148 females enrolled, 59.8% of the student body. Males make up 40.2% of the student body for a total of 1442 males. These percentages vary little year to year.
- 8) 79% of the first-time freshmen entering Fall Quarter 2006-2007 returned for the Winter Quarter 2007. This is a 4% increase in first-time freshmen retained from the Fall Quarter compared to 2005-2006 data. This increase returns the retention percentage to that experienced in the years prior to 2006.

Note the following first-time freshmen retention data from previous years:

- 75% of those entering Fall Quarter 2005-2006 returned for the Winter Quarter.
- 79% of those entering Fall Quarter 2004-2005 returned for the Winter Quarter.
- 80% of those entering Fall Quarter 2003-2004 returned for the Winter Quarter.
- 78% of those entering Fall Quarter 2002-2003 returned for the Winter Quarter.

Provided by the Office of the Registrar  
01/19/2007



## **Housing Occupancy**

### **2007 Winter Quarter/Comparison**

	SSU Owned Capacity	Campus View Capacity	Residents On Campus	Percentage Of Occupancy	Men Living On Campus	Women Living On Campus
Winter 2007	185	430	480	78%	236	244
Winter 2006	182	334	479	93%	219	260
Winter 2005	168***	288	410	89.9%	184	226
Winter 2004	193**	192	363	94.3%	166	197
Winter 2003	200**	96	311*	105%	134	177
Winter 2002	189	94	271	96%	114	157
Winter 2001	189	94	253	89.3%	116	137
Winter 2000	190		181	95.3%	75	106
Winter 1999	190		180	94.7%	68	112
Winter 1998	190		176	92.6%	69	107
Winter 1997	147		133	90.5%	62	71
Winter 1996	116		106	91.4%	54	52

\*Includes Residents housed in the Ramada Inn

\*\*SSU Capacity decrease due to providing the traditional single room to Resident Advisors

\*\*\*SSU capacity decrease due to Carriage being closed for renovations

Number of students in Campus View	338
Occupancy percentage for Campus View	78.6%
Number of students in the Ramada Inn	- 0 -

Prepared by: Office of Student Activities & Auxiliaries  
January 25, 2007

Certified as True and Correct

*[Signature]* 3/1/07

Secretary, SSU Board of Trustees Date



## RESOLUTION F 01-07

### GENERAL RECEIPTS BONDS FOR UNIVERSITY CENTER EXPANSION AND RENOVATION AND REFUNDING OUTSTANDING BONDS

WHEREAS, Shawnee State University (the "University") seeks to renovate and construct a new addition to its University Center (the "Project") and the Board of Trustees (the "Board") of the University has determined to proceed with the Project;

WHEREAS, this Board has determined to authorize the issuance of bonds to provide money for the Project and to refund previously issued and outstanding bonds, subject to approval of the Board of Regents for the pledge of the General Receipts of the University for such bonds; and

WHEREAS, this Board acknowledges its obligation under this resolution to make, fix, adjust, collect and apply such charges, rates, fees, rentals and other items included in its General Receipts as shall be sufficient to pay the operating expenses of the University and to pay the principal of and interest on the bonds of the University authorized under this resolution, including assessing and adjusting student fees of the University for such purpose;

THEREFORE, BE IT RESOLVED by the Board of Trustees of Shawnee State University, that:

Section 1. Approval of Project. It is necessary to acquire, construct, improve, equip and furnish the Project.

Section 2. Findings and Determinations. This Board makes the following findings and determinations:

(a) The Project constitutes "facilities" as defined in Section 3345.12, Ohio Revised Code, and the costs of the Project constitute "costs of facilities" as defined therein. It is necessary to issue bonds (the "Series 2007 Bonds") of the University for the purpose of paying costs of the Project and refunding certain Outstanding Bonds of the University.

(b) All or a portion of the Outstanding Bonds may be refunded. As a result of that refunding, debt service cost savings may be achieved with respect to the Outstanding Bonds or such refunding will permit the University to enter into a trust agreement amending and restating the Original Trust Agreement upon terms

Certified as True and Correct  
  
Secretary, SSU Board of Trustees  
3/21/07  
Date

more advantageous to the University, and that the refunding will benefit the University.

(c) All formal actions of this Board relating to the enactment of this resolution were taken in an open meeting of this Board. All deliberations of this Board and of any of its committees that resulted in those formal actions were in meetings open to the public in compliance with all legal requirements, including Section 121.22, Revised Code.

(d) Terms that are capitalized in this resolution and not otherwise defined are used with the meanings given to them in Section 12.

Section 3. Purpose and Terms.

(a) Purpose. The Series 2007 Bonds will be issued for the purpose of paying the costs of the Project and refunding the Outstanding Bonds. The proceeds of the Series 2007 Bonds may also be used to pay "financing costs" as defined in Section 3345.12, interest on the Series 2007 Bonds from the date of the Series 2007 Bonds to the time when interest is to be covered by available receipts or sources other than proceeds of the Series 2007 Bonds ("Capitalized Interest") and any other items included in the definition "costs of facilities" in Section 3345.12, Ohio Revised Code. This Board authorizes and directs the Vice President for Finance and Administration to determine any or all of the following with respect to the Series 2007 Bonds:

- (i) which maturities of the Outstanding Bonds that are to be called for early redemption and which maturities, if any, that are to be escrowed to maturity;
- (ii) the amount of refunding costs authorized by Section 3345.12(K)(2), Ohio Revised Code, to be paid from the proceeds of the Series 2007 Bonds;
- (iii) the amount of Capitalized Interest to be paid from proceeds of the Series 2007 Bonds;
- (iv) the amount of financing costs to be paid from proceeds of the Series 2007 Bonds; and
- (v) to issue the Series 2007 Bonds in one or more series, with appropriate changes to the series designations of those series, if necessary or advisable.

The proceeds of the Series 2007 Bonds may be used to refund all or a portion of the Outstanding Bonds of the University, but only if the Vice President for Finance and Administration determines that there will be debt service cost

savings to the University as a result of that refunding or that refunding the Outstanding Bonds is necessary to permit the University to enter into a trust agreement amending and restating the Original Trust Agreement on terms advantageous to the University.

Any proceeds of the Series 2007 Bonds in excess of amounts needed to pay costs of the Project shall be applied in accordance with the Trust Agreement and the Tax Regulatory Agreement.

(b) Terms. The Series 2007 Bonds will be issued in the principal amount determined by the Vice President for Finance and Administration, which may not exceed \$18,000,000. For the purposes of this resolution, the principal amount is the aggregate initial offering price to the public. If the Series 2007 Bonds are sold in the initial offering with net original issue discount, the aggregate principal amount of the Series 2007 Bonds may be increased by the amount of net original issue discount. The Series 2007 Bonds will bear interest at the rates approved by the Vice President for Finance and Administration, but the average interest rate on the Series 2007 Bonds may not exceed 7% per annum. Subject to those limits, this Board authorizes the Vice President for Finance and Administration to determine the following terms and provisions of the Series 2007 Bonds:

- (i) the principal amount of the Series 2007 Bonds;
- (2) the interest rates to be borne by the Series 2007 Bonds;
- (3) the date of the Series 2007 Bonds;
- (4) the interest payment dates for the Series 2007 Bonds; and
- (5) the maturity amounts and dates of maturities of the Series 2007 Bonds.

The Vice President for Finance and Administration must deliver a Certificate of Award setting forth the terms of the Series 2007 Bonds determined under this Section 3(b). The Series 2007 Bonds may have such other terms as are provided in the Trust Agreement and approved by the Vice President for Finance and Administration.

(c) Refunding Outstanding Bonds. This Board elects to refund the Outstanding Bonds determined by the Vice President for Finance and Administration as authorized in Section 3(a), if he or she determines that there will be debt service cost savings as a result of the refunding or that refunding the Outstanding Bonds is necessary to permit the University to enter into a trust agreement amending and restating the Original Trust Agreement on terms advantageous to the University. This Board authorizes the Vice President for



Finance and Administration to call the maturities of the Outstanding Bonds determined by him or her under Section 3(a). The actions under this Section 3(c) are intended to be actions of the University and this Board sufficient for all purposes of the Original Trust Agreement.

Section 4. Adoption of Amended and Restated Trust Agreement. The University is authorized to enter into an Amended and Restated Trust Agreement (the "Trust Agreement"), amending and restating the Original Trust Agreement. The President, the Vice President for Finance and Administration and any other officer of the University or this Board, alone or in conjunction with any of the others, are authorized to execute and deliver the Trust Agreement to the Trustee, which Trust Agreement shall be in substantially the form on file with the Secretary of this Board, with such revisions as shall not be inconsistent with this resolution and shall not be substantially adverse to the University. The approval of the Trust Agreement shall be conclusively evidenced by its execution.

Section 5. Security and Source of Payments. The Series 2007 Bonds will be payable from the General Receipts of the University and will be secured by a pledge of and lien on those General Receipts and by the covenants made in this Section 4 and in the Trust Agreement. The pledge of and lien on the General Receipts will be on a parity with the pledge of and lien on the General Receipts securing the Outstanding Bonds and any additional Parity Obligations issued or incurred in the future under the terms of the Trust Agreement. That pledge will be prior to the payment of all other expenses, claims or payments to be made from the General Receipts. The Series 2007 Bonds also will be secured by a pledge of and a lien on the Special Funds as defined in the Trust Agreement. The pledge of and lien on the General Receipts and Special Funds will be created in the Trust Agreement.

Without limiting the foregoing, this Board authorizes the Vice President for Finance and Administration to establish one or more Project Funds, as part of the Plant Funds of the University. The portion of the proceeds of the Series 2007 Bonds that will be paid to the University to pay costs of the Project must be paid into the Project Funds and used to pay costs of the Project in accordance with the Trust Agreement. This Board pledges the money in the Project Funds, pending its use to pay costs of the Project, to the payment of the Series 2007 Bonds.

This Board covenants, and confirms its covenant in the Trust Agreement, that in each fiscal year it will make, fix, adjust, collect and apply such charges, rates, fees, rentals and other items included in General Receipts, to the extent permitted by law so that Available Receipts will be sufficient to pay the operation and maintenance expenses of the University and to pay principal, interest and any premium requirements on the Outstanding Bonds and the Series 2007 Bonds, any reserve requirements for the Outstanding Bonds or the Series 2007 Bonds and any other requirements provided for in the Bond Proceedings. As used in this paragraph, the term "Available Receipts" means, for a particular fiscal year, the General Receipts received in that fiscal year plus unencumbered cash from General



Receipts received in previous fiscal years and held as part of the fund balance of the University as of the end of the immediately preceding fiscal year.

This Board further covenants to include in its budget for each fiscal year the amounts from the several sources of General Receipts to be applied to make the payments to the Debt Service Fund, so that the amounts from those sources, in the aggregate, will at all times be sufficient in amount and time of collection to meet those payments.

This Board authorizes and directs the President and the Vice President for Finance and Administration to seek the approval of the Board of Regents to the pledge of General Receipts to secure the Series 2007 Bonds.

Notwithstanding the foregoing, the holders and owners of the Series 2007 Bonds shall not be given the right and shall have no right to have excises or taxes levied by the General Assembly of Ohio for the payment of principal, interest and any premium on the Series 2007 Bonds, and each Series 2007 Bond shall bear on its face a statement to that effect and to the effect that the right to such payment is limited to the General Receipts and the Special Funds pledged to that purpose under the Bond Proceedings.

Section 6. Sale. The Series 2007 Bonds shall be sold to the Underwriter in accordance with the Purchase Agreement. This Board authorizes the Vice President for Finance and Administration to sell the Series 2007 Bonds at such time on such terms and at such prices as he shall determine are in the best interest of the University.

Section 7. Federal Tax Matters. The University covenants that it will take or cause to be taken those actions required for the interest on the Series 2007 Bonds to be excluded from gross income for federal income tax purposes. The University covenants that it will not take or permit to be taken any action that would adversely affect that exclusion. In accordance with these covenants, the Board authorizes and directs the Vice President for Finance and Administration or any other officer having responsibility for issuing the Series 2007 Bonds, to do the following:

(a) Sign and deliver a certificate of the University, for inclusion in the transcript of proceedings for the Series 2007 Bonds, setting forth the reasonable expectations of the University regarding the amount and use of the proceeds of the Series 2007 Bonds and of the Project refinanced with the Series 2007 Bonds.

(b) Limit the yield on any "investment property" (as defined in Section 148(b)(2) of the Code) acquired with the proceeds of the Series 2007 Bonds.

(c) Maintain such books and records and to make such calculations and reports as are required to comply with the arbitrage rebate requirements of the Code.

(d) Retain accountants or other consultants to assist in the calculation of any rebate amounts required to be paid if retaining such accountants or consultants

is necessary in the judgment of the Vice President for Finance and Administration. The fees of such accountants or consultants may be paid from the proceeds of the Series 2007 Bonds or from other sources lawfully available and appropriated for that purpose.

(e) Execute and file Form 8038-G with the Internal Revenue Service and any other federal tax form required by the Internal Revenue Service.

Section 8. Retention of the Underwriter. This Board authorizes and directs the Vice President for Finance and Administration to retain the services of Seasongood & Mayer, LLC to serve as the Underwriter for the Series 2007 Bonds. The Underwriter will be compensated in accordance with the Purchase Agreement.

Section 9. Retention of Bond Counsel. The legal services of the law firm of Roetzel & Andress, LPA ("Bond Counsel") are hereby retained. Those legal services shall be in the nature of legal advice and recommendations as to the Bond Proceedings in connection with the security, issuance and sale of the Series 2007 Bonds, preparation of certain documents, assistance in preparation of the Official Statement and rendering an approving legal opinion with respect to the Series 2007 Bonds. In rendering those legal services, as an independent contractor and in an attorney-client relationship, Bond Counsel shall not exercise any administrative discretion on behalf of the University or this Board in the formulation of public policy, the expenditure of public funds, the enforcement of laws, rules and regulations of this state, any political subdivision of this state or the execution of public trusts. The University shall pay Bond Counsel for those legal services reasonable fees in accordance with Bond Counsel's letter of appointment from the Attorney General and shall reimburse Bond Counsel for its out-of-pocket expenses incurred in rendering those legal services.

Section 10. Authorization of University Officers. This Board authorizes and directs the Vice President for Finance and Administration, or in his or her absence the University's President, to do any or all of the following if, in his or her judgment, with the advice of the Underwriter, Bond Counsel and the General Counsel of the University, they are necessary, appropriate or useful and in the best interests of the University:

(a) Prepare, or cause to be prepared, and negotiate the terms of any or all of the following:

- (1) the Trust Agreement;
- (2) the Tax Regulatory Agreement;
- (3) the Purchase Agreement;
- (4) the Continuing Disclosure Agreement;
- (5) the Escrow Agreement; and

- (6) such other documents, agreements, instruments and certificates, including the form of the Series 2007 Bonds, required in connection with the Series 2007 Bonds.
- (b) Apply for such ratings from Moody's Investors Service, Standard & Poor's Rating Services, Fitch Ratings, and any of them (each a "Rating Agency"), and to provide the Rating Agencies with information about the University.
- (c) Prepare or cause to be prepared on behalf of the University a preliminary and final official statement and any necessary supplements, and
- (1) use and distribute or authorize the use and distribution of the Official Statement and any supplements in connection with the original issuance of the Series 2007 Bonds;
  - (2) sign on behalf of the University the Official Statement and any supplements;
  - (3) advise the Underwriter in writing regarding limitations on the use of the Official Statement and any supplements for purposes of marketing or reoffering the Series 2007 Bonds;
  - (4) sign and deliver on behalf of the University certificates in connection with the accuracy of the Official Statement and any supplements; and
  - (5) contract with the Underwriter to provide such numbers of the Official Statement as necessary to enable the Underwriter to comply with the requirements of Rule 15c2-12 of the Securities and Exchange Commission.
- (d) Take actions to comply with the University's obligations under the Continuing Disclosure Agreement, including preparing and filing annual information, annual financial statements and event notices. The costs of that compliance may be paid from the proceeds of the Series 2007 Bonds or from the General Receipts.
- (e) Apply for a policy insuring the obligation to make payments of principal and interest on the Series 2007 Bonds from one or more bond insurance companies, accept a commitment for such policy of insurance and provide each such bond insurance company with information about the University.
- (f) Obtain other credit enhancement facilities for or in connection with the Series 2007 Bonds.

(g) Sign and deliver, on behalf of the University, the Series 2007 Bonds, the Trust Agreement, the Purchase Agreement, the Tax Regulatory Agreement, the Continuing Disclosure Agreement, the Escrow Agreement, agreements with bond insurance companies, agreements with the providers of credit enhancements, and such additional documents, instruments and certificates as required by those agreements and documents.

(h) Do all other acts (including signing and delivering documents, instruments and certificates and retaining the services of attorneys, accountants, printers and consultants) necessary or appropriate to consummate the bond transaction.

Section 11. Authorized University Representatives. This Board authorizes and directs the Vice President for Finance and Administration to designate an Authorized University Representative and one or more alternates under the Trust Agreement. The Authorized University Representative or alternates must take all action required of the University under the Bond Proceedings. The Authorized University Representative may be changed and additional alternates may be added by action of the Vice President for Finance and Administration or this Board. The alternates may act in the absence or at the direction of the Authorized University Representative.

Section 12. Definitions. In addition to terms elsewhere defined in this resolution, the following terms are defined terms:

“Authorized University Representative” means a person at the time designated to act on behalf of the University by a written certificate furnished to the Trustee, containing the specimen signature of that person and signed on behalf of the University by the Vice President for Finance and Administration. The certificate may designate an alternate or alternates.

“Bond Proceedings” means this resolution, the Certificate of Award, the Trust Agreement, the Tax Regulatory Agreement, the Continuing Disclosure Agreement, the Purchase Agreement, the Escrow Agreement, the Series 2007 Bonds and the other agreements and credit enhancement facilities authorizing, awarding, or providing for the terms, conditions, security or liquidity of the Series 2007 Bonds and amendments and supplements to those documents.

“Certificate of Award” means the certificate of the Vice President for Finance and Administration described in Section 3 of this resolution, determining certain terms of the Series 2007 Bonds.

“Code” means the Internal Revenue Code of 1986, as amended.

“Continuing Disclosure Agreement” means the Continuing Disclosure Agreement between the University and the Trustee, under which the University agrees to provide information on an annual basis, and notices of material events as they occur, to certain



national information repositories and the state information depository, for the benefit of the holders of the Series 2007 Bonds.

"Debt Service Fund" means the Debt Service Fund for the payment of principal, interest and premium on the Series 2007 Bonds created under the Trust Agreement.

"Escrow Agreement" means the Escrow Agreement between the University and an escrow trustee under which the proceeds of the Series 2007B bonds will be deposited in escrow for the payment of the principal, interest, and any premium on the Outstanding Bonds to be refunded.

"General Receipts" means all money received by the University, except (i) moneys raised by taxation, (ii) state appropriations, (iii) any special fee, and receipts from that special fee, charged under Section 154.21(D), Ohio Revised Code and (iv) any grant, gift, donation or pledge that is restricted by the donor to purposes inconsistent with the payment of principal, interest and any premium on the Series 2007 Bonds. Notwithstanding the foregoing, moneys raised by taxation will be included in General Receipts, if those taxes are specifically allocated to secure University obligations by the General Assembly of Ohio. Without limiting the foregoing, "General Receipts" includes the following (a) income, revenues, and receipts from the operation, ownership, or control of facilities owned and operated by the University, (b) grants, gifts, donations and pledges and receipts from those grants, gifts, donations and pledges and (c) receipts from fees and charges.

"Official Statement" means the disclosure document prepared by the University in connection with the offering and sale of the Series 2007 Bonds, as provided in Section 10(c), and included any preliminary or final disclosure documents and any supplements or amendments.

"Original Trust Agreement" means the Trust Agreement dated as of November 1, 1989 between the University and the Trustee, as supplemented and amended,

"Outstanding Bonds" means, collectively, the Series 1989 Bonds and the Series 1994 Bonds.

"Parity Obligations" means such term as defined in the Trust Agreement.

"Project Funds" means one or more funds established as part of the Plant Funds of the University and authorized by Section 5 of this resolution.

"Purchase Agreement" means the Bond Purchase Agreement between the University and the Underwriter, providing for the sale of the Series 2007 Bonds.

"Series 1989 Bonds" means the University's General Receipts Bonds, Series A, dated November 1, 1989, issued in the original principal amount of \$3,250,000.

"Series 1994 Bonds" means the University's General Receipts Bonds, Series B, dated August 1, 1994, issued in the original principal amount of \$1,960,000.

"Series 2007 Bonds" means the University's General Receipt Bonds, Series 2007, authorized in Section 3 of the resolution.

"Special Funds" means the Special Funds as defined in the Trust Agreement and includes, without limitation, the Debt Service Fund and the Project Funds.

"Tax Regulatory Agreement" means the Tax Regulatory Agreement between the University and the Trustee, under which the University agrees to take the necessary actions to maintain the tax-exempt status of the interest on the Series 2007 Bonds.

"Trust Agreement" means the Amended and Restated Trust Agreement between the University and the Trustee, as supplemented and amended from time to time, authorized in Section 3 of this resolution.

"Trustee" means U.S. Bank National Association, as successor Trustee to Star Bank South Central Ohio.

"Underwriter" means Seasongood & Mayer, LLC.

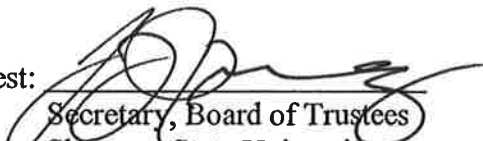
"University" means Shawnee State University.

"Vice President for Finance and Administration" means the person who holds the position of University's Vice President for Finance and Administration, including any person who holds such position on an interim basis.

Adopted: February \_\_, 2007

Signed: \_\_\_\_\_  
Chairman, Board of Trustees  
Shawnee State University

Attest:

  
Secretary, Board of Trustees  
Shawnee State University

1399328.01

**RESOLUTION F 02-07**

**STUDENT ASSESSMENT FOR DEBT SERVICE ON BONDS FOR FUNDING  
THE UNIVERSITY CENTER EXPANSION AND RENOVATION**

WHEREAS, the Board of Trustees of Shawnee State University has approved Resolution 01-07 approving the issuance of General Receipt Bonds to finance the University Center expansion and renovation; and

WHEREAS, the Student Government Association passed resolution 101 (November 15, 2006) approving the project and an assessment charge to students to help defray the cost of financing these bonds; and

WHEREAS, there is a need to generate an income stream, apart from tuition and general fees, to assure bondholders of repayment of debt in accordance with the Trust Agreement;

THEREFORE BE IT RESOLVED that the Board of Trustees of Shawnee State University approves the assessment of debt service charges to students to fund the repayment of these General Receipt bonds not to exceed \$150 in any one academic term and not to be assessed before the term in which the building is occupied; and

BE IT FURTHER RESOLVED that this student debt service charges is contingent upon approval by the Ohio Board of Regents as provided under § 209.64.69 of Am. Sub. H.B. 66 (126<sup>th</sup> Ohio General Assembly).

(February 9, 2007)

Certified as True and Correct  
  
Secretary, SSU Board of Trustees      3/21/07      Date



SHAWNEE STATE UNIVERSITY  
STUDENT GOVERNMENT ASSOCIATION

RESOLUTION 101

UNIVERSITY CENTER EXPANSION  
STUDENT FUNDING

WHEREAS, the Student Government Association (SGA) recognizes the importance of quality student life opportunities for recruitment and retention; and

WHEREAS, the current University Center was designed during the University's transition from a community college to a university and does not meet the extra curricular needs of our growing residential student population; and

WHEREAS, the SGA has reviewed the preliminary concept and design of an expanded University Center which adds about twenty thousand square feet to the current structure greatly expanding the food service capacity; and

WHEREAS, the cost of the University Center expansion is projected to be \$12-\$13 Million and the current capital budgeting environment is not favorable for state funding; and

WHEREAS, the SGA views the University Center expansion as critical to the continuing success of Shawnee State University to meet the needs of an expanding student community; and

WHEREAS, funding this project from student fees would add about \$150 per semester for each full-time student, if the project were funded by student fees;

THEREFORE BE IT RESOLVED that the SGA, as the duly elected representatives of the Shawnee State University student body, recommend the University go forward with the University Expansion project funded through student fee assessments necessary for the repayment of the bond debt financing of the project.

*I certify that this is a true and exact resolution of the Shawnee State University Student Government Association.*

  
Dustin Martin  
Secretary of Student Government Association

November 15, 2006

Certified as True and Correct  
 9/21/07  
Secretary, SSU Board of Trustees Date

## **RESOLUTION F03-07**

### **APPOINTMENT OF VICE PRESIDENT FOR STUDENT AFFAIRS**

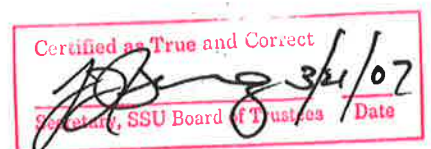
WHEREAS, the University-wide Board policy 5.16Rev "Personnel Appointments" establishes the procedure for the approval of those personnel appointments requiring action by the Board of Trustees and for the reporting of other personnel actions to the Board; and

WHEREAS, this approval is contingent upon the appointee complying with applicable sections of Senate Bill 9 (effective April 14, 2006);

THEREFORE, BE IT RESOLVED, that the Board of Trustees of Shawnee State University authorizes the following personnel action:

Jim S. Settle, appointment as Vice-President for Student Affairs, beginning February 15, 2007, at a fiscal-year salary of \$97,000.

(February 9, 2007)



## **RESOLUTION F04-07**

### **RESCISSION OF POLICIES 4.30, 4.43, 4.50, 4.59 AND UPDATING POLICIES 4.23REV AND 4.58REV**

WHEREAS, Policies 4.30 Retirement, 4.43 Emergency Telephone Calls, 4.50 Definition of Administrator, and 4.59 Administrative Staff Resignation are no longer applicable; and

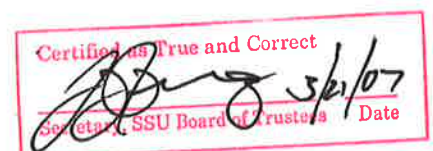
WHEREAS, the University is expanding into graduate programs and converting to a semester calendar system; and

WHEREAS, University Policy 4.23REV Collection and Write-off of Student Accounts Receivable and 4.58REV On-Campus Education Benefits Administrative needs to be updated to reflect the semester conversion;

WHEREAS, all constituent groups have been advised of these rescissions and updates;

THEREFORE BE IT RESOLVED that the Board of Trustees of Shawnee State University hereby approves the rescission of Policies 4.30, 4.43, 4.50, 4.59, and approves revisions to Policy 4.23REV and Policy 4.58REV, attached hereto, effective February 9, 2007.

(February 9, 2007)





# Shawnee State University

AREA:	BUSINESS AFFAIRS	POLICY NO.:	4.23 REV.
		ADMIN. CODE:	3362-4-13
		PAGE NO.:	1 OF 2
		EFFECTIVE DATE:	2/18/95
SUBJECT:	COLLECTION AND WRITE-OFF OF STUDENT ACCOUNTS RECEIVABLE	RECOMMENDED BY:	D. Creamer
		APPROVED BY:	

## 1.0 Purpose

This statement sets forth University Policies and Procedures for the handling and disposition of student accounts receivable.

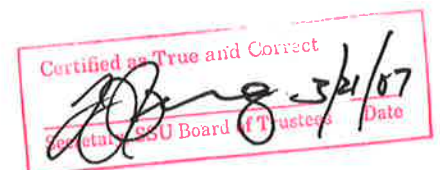
## 2.0 Policy

- 2.1 Collection of student accounts receivable/write-offs is the responsibility of the Bursar's Office.
- 2.2 Student billings will be generated and provided to students registered for classes in advance of the last day to pay fees. The last day to pay fees will be stated on the student's statement of account.
- 2.3 Payment of fees shall be required of all students each term on the date established by the Office of the Vice President for Finance and Administration and published by the Office of the Registrar.
- 2.4 Any student that fails to pay his/her fees by the payment deadline may be administratively dismissed according to procedures established by the Office of the Vice President for Finance and Administration.
- 2.5 Students with past due accounts may also have a hold placed on their account in accordance with procedures established by the Office of the Vice President for Finance and Administration, which shall preclude further registration by the student for courses at the University or any release of student transcripts until the outstanding balance is cleared with the Bursar's Office.
- 2.6 The Bursar's Office shall develop and maintain procedures which require that all students with outstanding accounts receivable are billed on a routine basis. Such billings shall state the past due nature of the balance and any related consequences for nonpayment.

2.7 Students with open balances at the end of the term may be turned over to an approved collection agency. The costs incurred will be the total responsibility of the student.

2.8 Each year the Bursar's Office will generate a report for Student Accounts Receivables to be written off.

*Adopted 12/9/89; Revised 3/14/94; Revised 2/18/95*





# Shawnee State University

AREA:	BUSINESS AFFAIRS	POLICY NO.:	4.58 REV.
		ADMIN. CODE:	3362-4-30
		PAGE NO.:	PAGE 1 OF 3
SUBJECT:	ON-CAMPUS EDUCATION BENEFITS ADMINISTRATIVE STAFF	EFFECTIVE DATE:	6/18/93
		RECOMMENDED BY:	D. Creamer
		APPROVED BY:	

## 1.0 POLICY

- 1.1 The University encourages administrative staff members to further their education and self-development. Instructional and general fees will be waived for full-time administrative employees and their dependents, dependents of full-time administrative employees who are on disability retirement, part-time administrative employees, retired administrative employees, and widows/widowers or children of deceased administrative employees to attend Shawnee State University. Administrators on authorized leaves of absence, as well as their spouses and dependent children, are also eligible for educational benefits. Administrators in temporary layoff or furlough status shall continue to be eligible through their layoff or furlough periods. Tuition waivers do not cover special fees such as lab fees or supply fees. The out-of-state surcharge, if applicable, will be waived.\*

## 2.0 FULL-TIME ADMINISTRATIVE EMPLOYEES

- 2.1 Full-time administrative employees may take a maximum of two courses not to exceed (6) credit hours per semester (eight credit hours per quarter), , on either a credit or noncredit basis. Exception to the eight-hour maximum limit of this policy may be made upon recommendation of the appropriate administrative official if the applicant seeking the exception demonstrates that such exception is necessary to permit the applicant to take a course required for graduation which is not otherwise available to the applicant. In order to avoid interruptions on the job, administrative employees should whenever possible register for classes which meet outside of their regular work schedules. When this is not feasible, they may, with the approval of their supervisors, enroll for a class during the regular work day. Flexible scheduling may apply. The restriction of six semester credit hours (eight per quarter) shall not apply to courses taken as part of an authorized leave of absence or a temporary layoff or furlough.

## 3.0 DEPENDENTS

- 3.1 Dependent is defined as the administrator's spouse or any children 25 years of age or younger, and dependent as defined under IRS rulings. Dependents of full- time administrative employees may enroll in as many hours per term as allowed under the University's academic policies. The maximum benefits for each dependent under this policy is 160 semester hours, 240 quarter hours, or the equivalent combination of quarter and semester hours (2 semester hours = 3 quarter hours)..

#### 4.0 PART-TIME EMPLOYEES

- 4.1 A part-time administrative employee is eligible for a maximum of one class per term, taken on the employee's own time. No special provisions will be made in employees' work schedules to accommodate taking a class.

#### 5.0 RETIRED ADMINISTRATORS

- 5.1 A full-time administrative staff member who has formally retired into an Ohio state pension system or approved alternative retirement system (ARP) who served at Shawnee State University for five years or more in full-time status is able to receive fee waiver benefits. Retired staff members may take courses on a space available basis. In addition, dependents of retirees may enroll in classes without payment of instructional and general fees for a period of two years following the employee's retirement. (See definition of "dependent" above.)

#### 6.0 WIDOW/WIDOWER OR DEPENDENT CHILD OF A DECEASED ADMINISTRATIVE EMPLOYEE

- 6.1 The widow/widower or dependent child of a deceased administrative employee who served at Shawnee State University for at least five years of continuous service or more in full-time status may enroll as a full-time or part-time student without payment of instructional and general fees. This benefit extends two years following the death of the employee.

#### 7.0 NON-CREDIT/UNIVERSITY OUTREACH SERVICES COURSES

- 7.1 Administrative employees, spouses, and dependents listed above are entitled to a 20% discount on registration for non-credit courses and conferences offered through University Outreach Services, as space allows. Non-credit courses may be paid fully by the administrator's department if the request is initiated by his/her supervisor and the training is directly related and will enhance the employee's position and department.

#### 8.0 PROCEDURE

- 8.1 Enrollees under this policy will enroll in classes during the regular registration processes, and no special provisions will be made for such enrollment.
- 8.2 Programs or classes which have special admission requirements or limited class sizes will be available to enroll in the same manner as made available to the student body.

Certified as True and Correct  
*[Signature]* 5/21/07  
Secretary, SSU Board of Trustees Date

- 8.3 Each employee or dependent desiring to attend the University under this policy shall obtain and complete the "Employee/Dependent Fee Waiver Form," according to University policies and timetables. Forms are available from the Bursar's Office, the Controller's Office, and Personnel. (See attached form.)
- 8.4 If an employee or eligible dependent meets requirements for the federal Pell Grant, these funds shall be utilized first to cover the cost of lab or special fees and then required textbooks and supplies purchased at the University Bookstore.
- 8.5 Any dollar difference shown as due after applying the provisions of this policy is to be paid by the employee according to University policy.

Attachment: Fee Waiver Form

*Adopted 8/27/9 1; Revised 6/18/93*

## **RESOLUTION F05-07**

### **POLICY 5.30REV, CAMPUS COMPUTER AND NETWORK USE POLICY**

WHEREAS, Whereas the Ohio 126<sup>th</sup> General Assembly passed Sub HB 104 requiring state agencies to take certain actions to notify individuals in cases of unauthorized access to personal information in computerized data; and

WHEREAS, the previous computer use policy did not provide sufficient flexibility for the University to respond to new technology and new threats to identity theft risks; and

WHEREAS, all constituent groups and the President have reviewed and recommend this replacement policy;

THEREFORE BE IT RESOLVED that the Board of Trustees of Shawnee State University hereby approves Policy 5.30REV, attached hereto, effective February 9, 2007.

(February 9, 2007)



# Shawnee State University

AREA:	UNIVERSITY WIDE	POLICY NO.:	5.30Rev
		ADMIN. CODE:	3362-5-30
		PAGE NO.:	1
		EFFECTIVE DATE:	
SU&JECT:	CAMPUS COMPUTER AND NETWORK USE POLICY	RECOMMENDED BY:	CABINET
		APPROVED BY:	

## 1.0 Access Privileges

Access to computer systems, resources and networks owned or operated by Shawnee State University is a privilege which imposes responsibilities and obligations and is granted subject to University policies and *Conditions for Use of University Computing Resources*, as well as local, state, and federal statutes. This policy applies to all users who are granted access to the University's computing resources.

Use of the University's computing systems, resources and networks is granted solely to current Shawnee State University active and retired faculty, staff, enrolled students, and any others designated in writing by the President or Vice President for Finance and Administration. Use of these resources is limited to applications and activities appropriate to the user's role in the University. Commercial use of University resources is prohibited. The University reserves the right to limit, restrict, extend or deny computing privileges and access to its resources.

The University reserves the right to test and monitor security, and review any files or information resident on University systems for unacceptable use. It is the expectation that all accounts assigned to authorized individuals will be treated as private by university employees charged with managing University computer systems, resources, and networks.

An account may be accessed without the user's permission upon authorization by the President or the President's designee for any employee placed on temporary or extended leave of absence, or otherwise is not reasonably available, or when there is probably suspicion of violation of University policies or evidence of criminal activity. The University reserves the right to deny access to its computer systems, resources and networks until consent to access the account is provided by the President or President's designee.

## 2.0 University Computing Resources

All users are responsible for complying with the *Conditions for Use of University Computing Resources (Conditions for Use)* of Shawnee State's computer systems, resources, and networks. *Conditions for Use* shall be forwarded to users via University e-mail at the time any modifications are approved by the President. They shall be posted on the SSU web page and be made available upon request from University Information Services. *Conditions for Use* will be periodically reviewed and revised in consultation with the University Technology Advisory Committee (UTAC).

As a member of the Ohio Academic Research Network (OARnet), Shawnee State shall seek to comply with all policies and procedures of OARnet and related networks. In turn, all users granted privileges to access OARnet and other networks must comply with the policies and procedures of those networks.

## 3.0 Sanctions

Violation of computer use policies or Conditions for Use may result in sanctions by the University, up to and including loss of computing privileges, termination of employment and dismissal from the University in accordance with the appropriate policies and collective bargaining agreements regarding disciplinary actions. The process outlined in the student handbook will determine sanctions for students. Disciplinary actions due not preclude additional civil or criminal prosecution by the appropriate authority.

Replaces Policy 5.30, dated 9-11-01



## **RESOLUTION F06-07**

### **UNIVERSITY HOUSING POLICY 3.20REV**

WHEREAS, University Housing Policy 3.20REV needs updating for flexibility in certain housing situations; and

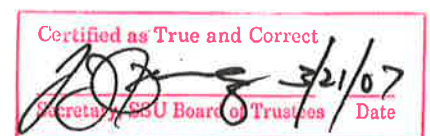
WHEREAS, there are occasions where special circumstances may apply to student housing and dining services; and

WHEREAS, the University needs expanded authority to address these student housing and dining situations to adjust or waive established student housing and dining rates; and

WHEREAS, the President recommends this change;

THEREFORE BE IT RESOLVED, that the Board of Trustees of Shawnee State University hereby approves the attached changes to University Housing policy 3.20REV.

(February 9, 2007)





## Shawnee State University

AREA: STUDENT AFFAIRS	POLICY NO.: 3.20 REV
	PAGE NO.: 1 OF 1
	EFFECTIVE DATE: 8/1/05
SUBJECT: UNIVERSITY HOUSING POLICY	RECOMMENDED BY: Dr. Rita Morris
	APPROVED BY: Board of Trustees

### 1.0 Purpose

Shawnee State University (SSU) has an obligation to insure that housing policies and programs exist which will maximize the educational potential of the residential life of the University. In addition, SSU is obligated to meet financial commitment to lenders for the construction of housing units and to establish guidelines to assure the facilities are utilized to meet these obligations.

### 2.0 Residence Life as an Educational Experience

In addition to the other forms of education it practices, Shawnee State University endorses and supports the particular value of higher education as provided by a residential campus community. Residential settings offer students unparalleled opportunities to gain from social interactions and other life experiences that characterize on-campus housing and dining. When residential living is part of an organized program, the educational and personal gains from simple access and participation can be substantial. For these reasons, SSU develops and maintains programs which assure that the residential experience contributes in significant ways to the total education of students.

### 3.0 Requirements for Living in University Housing

Shawnee State University shall determine which classifications of students will contribute to, and benefit from, living in housing units and dining in University facilities. Such determinations shall be specified in the University's published requirements for admission and enrollment. The President or the President's designee(s) may authorize adjustments, including refunds and reductions, to the rates for housing and dining in cases where those services or accommodations fail to meet the standards of the University.

As a condition of admission and continued enrollment, all freshmen students in their first year of attendance are required to live in University housing, to the extent that space is available, and to take their meals in dining facilities as provided in meal plans approved by the University. Exceptions to this policy include married students, single parents, veterans, and students over the age of 23, transfer students, students living with their parents, and other extraordinary circumstances as determined by the Vice President for Student Affairs.

Housing and dining contracts will be drawn for an academic year, or a remainder of a year. Housing and dining rates are established by the Board of Trustees.

### 4.0 Residence Hall Handbook.

Shawnee State University shall publish a Resident's Guide, revised when warranted, and approved by the President, which implements the University Housing Policy. The Resident's Guide will be distributed upon move in and made a condition of the contract.

## **RESOLUTION F07-07**

### **APPROVAL OF CREDIT BY ARRANGEMENT FEES FOR MOT**

WHEREAS, Shawnee State University set graduate tuition and fees for the 2007 academic year by Resolution F33-05; and

WHEREAS, fees need to be established for Credit by Arrangement for MOT courses; and

WHEREAS, the proposed fee charges as listed below are recommended to the Board of Trustees by the Finance and Facilities Committee;

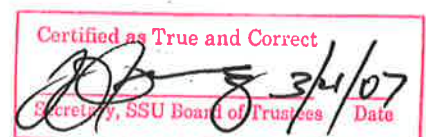
THEREFORE BE IT RESOLVED that the Board of Trustees of Shawnee State University approves the following fees effective beginning spring semester 2007.

Credit by arrangement tuition, MOT (per hr.)	\$355.00
(charge is included as part of the Full-Time graduate student fees)	

Credit by arrangement admin. fee (per hr.)	\$30.00
(charge is in addition to the Full-Time graduate student fees)	

BE IT FURTHER RESOLVED that students enrolled in an MOT course by arrangement prior to the date of this resolution will not be charged the administration fee.

(February 9, 2007)





## **RESOLUTION F08-07**

### **SOUTHERN OHIO YOUTH REGENTS STEM AND FOREIGN LANGUAGE GRANT**

WHEREAS, the grant summarized on the attached has been reviewed by the appropriate University committees and/or individuals, and is recommended for renewal;

THEREFORE, BE IT RESOLVED, that the Board of Trustees of Shawnee State University approves the acceptance of the Southern Ohio Youth Regents STEM and Foreign Language Grant.

(February 9, 2007)



## GRANT SUMMARY PROPOSAL

**TITLE:** Southern Ohio Youth Regents STEM and Foreign Language

**GRANT AGENCY:** Ohio Board of Regents

**PRINCIPAL INVESTIGATOR:** David Todt

**PERCENTAGE OF P.I. TIME DEVOTED TO GRANT:** 10% until we hire a Project Director and Assistant, then less than 5%

**PURPOSE:** The Southern Ohio Youth Regents Academy will introduce students to a broad range of courses – chemistry, Spanish and statistics – with instruction on three campuses, including residential experiences at the University of Rio Grande and Shawnee State University. Students will begin intensive study in any two courses during the summer session (chemistry, Spanish or statistics) and complete coursework via distance learning. Teaching as a career will be explored through an additional course for college credit offered during the school year, *Introduction to the Teaching Profession*. This course will include an opportunity for students to tutor and assist teachers in a middle school setting. The Southern Ohio Youth Regents STEM and Foreign Language Academy provides a unique opportunity for collaboration and leadership between three partner universities and other organizations such as Upward Bound and the Ohio Appalachian Center for Higher Education.

**CLIENTELE TO BE SERVED:** Ohio rising high school juniors and seniors who might be interested in STEM careers

**RELATIONSHIP TO SSU MISSION:** This grant aims to increase college attendance in STEM disciplines from the Appalachian region. This is also an example of a cooperative partnership with Ohio University-Southern and the University of Rio Grande serving southern Ohio.

**OTHER AGENCIES/ORGANIZATIONS PARTICIPATING IN PROJECT:** Ohio University – Southern and the University of Rio Grande

**BRIEF DESCRIPTION OF HOW PROJECT WILL BE CONDUCTED:** The goal of The Southern Ohio Youth Regents STEM and Foreign Language Academy is to introduce fifty high school juniors and seniors to the opportunities for careers, especially teaching, in the areas of science technology, engineering mathematics, medicine, and foreign languages. Students will select two courses during the summer residential academy and one course during the following school year. All students will take an introduction to the teaching profession during the school year. The school year courses may be distance learning courses. One week will be



spent on each campus, the University of Rio Grande (June 11-15), Shawnee State University (June 18-22), and Ohio University—Southern (June 25-29). As you move from campus to campus, your professors and course work will move too. Each course will have an online learning component between July 2 and August 9. A final course meeting will be held on Friday, August 10, 2007 at the University of Rio Grande. Two follow-up classes will be taken during the 2007/08 Academic Year (Introduction to the Teaching Profession and an elective course).

**BUDGET:**

Fund Source	Fiscal Years 2007 and 2008
Grant	\$340,000
Other	\$ 30,000
University In-kind	\$ 30,000 (contribution of indirect cost and scholarships)
TOTALS	\$400,000

Certified as True and Correct  
 3/21/07  
Secretary SSU Board of Trustees Date

**PERSONNEL INFORMATION ITEMS**  
**February 2007 BOT MEETING**  
**Changes processed from November 22, 2006 to January 26, 2007**  
(Developed from information received in the HR Department through January 26, 2007)

***ACADEMIC AFFAIRS DIVISION***

***Retirement - Faculty***

*Trudy Bostick*, Senior Instructor in the Department of Mathematics, granted a disability benefit through State Teachers Retirement System (STRS) of Ohio effective December 1, 2006.

***BUSINESS AFFAIRS DIVISION***

None.

***OHIO APPALACHIAN CENTER FOR HIGHER EDUCATION***

None.

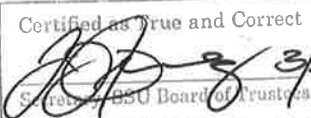
***PRESIDENT'S OFFICE***

None.

***STUDENT AFFAIRS DIVISION***

*Dr. Larry Mangus*, Vice-President for Student Affairs, retirement effective February 1, 2007.

*Per Policy 5.16 Part-time administrative appointments and changes in status for administrative and faculty positions are reported to the Board of Trustees.*

Certified as True and Correct	Prepared 5/25/06
	3/21/07
Secretary, OSU Board of Trustees	Date

## RESOLUTION E01-07

### ACKNOWLEDGMENT OF ROGER T. MURPHY ON THE OCCASION OF HIS RETIREMENT

**WHEREAS**, the Shawnee State University Board of Trustees seeks to recognize the outstanding service of Roger T. Murphy, Assistant to the President and formerly Vice President for Business Affairs; and

**WHEREAS**, Mr. Murphy came to Shawnee State in 1996 with extensive experience in higher education management from his work at Lake Superior State University; and

**WHEREAS**, Mr. Murphy in his capacity as Vice President for Business Affairs provided leadership over a wide range of university operations essential to promoting a dynamic academic environment and ensuring the high quality of student life; and

**WHEREAS**, the university operations which reported to Mr. Murphy included the offices of the controller and the bursar, campus auxiliary operations, the office of facilities, university information systems, printing and purchasing services, and the office of human resources; and

**WHEREAS**, Mr. Murphy's sound stewardship of the university's finances is evident in the annual external audits submitted during his tenure, which gave top marks to Mr. Murphy's oversight of the university's fiscal operation; and

**WHEREAS**, the Ohio Board of Regents submitted further proof of Mr. Murphy's capable leadership in its 2005 analysis of fiscal integrity, in which Shawnee State scored highest of all Ohio public universities; and

**WHEREAS**, Mr. Murphy has most recently served the university as Assistant to the President, providing the president with the benefit of his considerable management experience on matters of university resources and planning; and

**WHEREAS**, Mr. Murphy represented the university on statewide bodies, earning the respect of his colleagues while working to secure state support vital to Shawnee State University achieving its mission of providing the opportunity of a high quality university education to the people of southern Ohio; and

**WHEREAS**, the Shawnee State Trustees find the service of Mr. Murphy as Vice President for Business Affairs and Assistant to the President to be extraordinarily meritorious and deserving of their undying gratitude.

**THEREFORE BE IT RESOLVED** that the Shawnee State University Board of Trustees offers its most heart-felt appreciation to Mr. Roger T. Murphy on the occasion of his retirement, and wish him the very best in all future endeavors.

\_\_\_\_\_  
Rita Rice Morris  
President

\_\_\_\_\_  
Klara Boynton Reynolds, Chair  
Shawnee State University Board of Trustees

(February 9, 2007)

